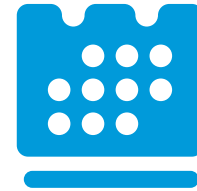


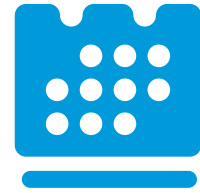
ICA – Advanced Certificate in Regulatory and Financial Crime Compliance – Cohort 21

The course content can be accessed from 18 March 2024

Module	Event	
	Orientation <i>(1 hour)</i>	18 March 24 06:30pm – 07:30pm
Background Module - Products and Services in the Financial Sector	Self Study	w/c 18-Mar-24
Core Module 1 - Regulatory Compliance	Self study	w/c 01 April 24
	Workshops 1 & 2	08 & 09 April 24 06:30pm – 09:30pm
Core Module 2 - Identifying and Reporting Compliance Risk	Self study	w/c 15 April 24
	Workshops 3 & 4	22 & 23 April 24 06:30pm – 09:30pm
Core Module 3 - Developing a Compliance Culture	Self study	w/c 29 April 24
	Workshops 5 & 6	06 & 07 May 24 06:30pm – 09:30pm
Core Module 4 - Leveraging Data for Business Advantage	Self study	w/c 13 May 24
	Workshops 7 & 8	20 & 21 May 24 06:30pm – 09:30pm
Specialist Modules - Compliance Advisory		



Specialist Module - Policy Implementation & Revision	Self study	w/c 01 July 24 & w/c 08 July 24
Specialist Module - Corporate Governance	Self study	w/c 15 July 24 & w/c 22 July 24
Specialist Modules - Compliance Monitoring		
Specialist Module - Monitoring & Surveillance	Self study	w/c 01 July 24 & w/c 08 July 24
Specialist Module - Corporate Governance	Self study	w/c 15 July 24 & w/c 22 July 24
Specialist Modules - Financial Crime Compliance		
Specialist Module - Policy implementation & Revision	Self study	w/c 01 July 24 & w/c 08 July 24
Specialist Module - Customer Acceptance Checking & Onboarding	Self study	w/c 15 July 24 & w/c 22 July 24
Assessment Events and timetable		
	Assessment Guidance	24 April 24 06:30pm – 07:30pm



	Written Assignment (3000 words)	24 June 24 Submission - 12:00pm (Local time)
	Exam Best Practice	08 July 24 06:30pm - 07:30pm
	Invigilated, Closed Book 2 x 12 Short Answer Questions	03 August 24 02:00pm (3 hours and 15 minutes)